

04-27-00

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Elliott D. Light and Ali Ersheid

Serial No.: Not yet assigned

Group Art Unit:

Filed: Herewith

Examiner:

For: **A SYSTEM AND METHOD FOR STORAGE AND RETRIEVAL OF INFORMATION
SUBJECT TO AUTHORIZATION BY A DATA CONTROLLER**

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

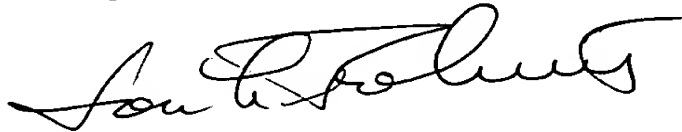
Dear Sir:

Enclosed please find the following:

1. Specification, abstract and claims (2 independent, 77 dependent, 79 total) (27 pages);
2. Informal drawings (5 figures, 5 sheets);
3. Declaration and Power of Attorney;
4. Assignment with Recordation Sheet;
5. Verified Statement Claiming Small Entity Status - Independent Inventor;
6. Verified Statement Claiming Small Entity Status - Small Business Concern;
7. One check in the amount of \$876.00; and,
8. Certificate of Express mailing.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to Deposit Account No. 18-1579. The Commissioner is also authorized to charge Deposit Account No. 18-1579 for any future fees connected in any way to this application. Two copies of this letter are enclosed.

Respectfully submitted,



Jon L. Roberts, Esq.
Registration No. 31,293
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April 26, 2000

Atty. Docket No. 2249

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jc915 U.S. PTO

jc530 U.S. PTO
09/559778
04/27/00

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CERTIFICATE OF EXPRESS MAILING

Express Mail Mailing Label Number EL528296975US

Date of Deposit: April 26, 2000

I hereby certify that the patent application of Elliott D. Light and Ali Ersheid for **A SYSTEM AND METHOD FOR STORAGE AND RETRIEVAL OF INFORMATION SUBJECT TO AUTHORIZATION BY A DATA CONTROLLER** including the specification, abstract, and claims (2 independent, 77 dependent, 79 total) (27 pages); informal drawings (5 figures, 5 sheets); declaration and power of attorney; an assignment together with a recordation cover sheet; Verified Statement Claiming Small Entity Status - Small Business Concern; Verified Statement Claiming Small Entity status - Independent Inventor; and a check in the amount of \$876.00, are being deposited with the United States Postal Service for "Express Mail" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.



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**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant or Patentee: Elliott D. Light and Ali ErsheidSerial or Patent No.: Not Yet IssuedFiled or Issued: HerewithTitle: A System and Method For Storage And Retrieval Of Information Subject to Authorization by a Data Controller

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under convey or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that had made the invention, or to any concern which would not qualify as a business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(c).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
☒ Each such person, concern or organization is listed below.

CyberCash, Inc.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.(37 CFR 128(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made an information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Elliott D. Light

NAME OF INVENTOR

Elliott D. Light
Signature of inventor

4/25/2000
Date

Ali Ersheid

NAME OF INVENTOR

Ali Ersheid
Signature of inventor

4/25/2000
Date

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)

Applicant or Patentee: Elliott D. Light and Ali ErsheidSerial or Patent No.: Not Yet IssuedFiled or Issued: HerewithTitle: A System and Method For Storage And Retrieval Of Information Subject to Authorization by a Data Controller

I hereby declare that I am

☐ the owner of the small business concern identified below:☒ an official of the small business concern empowered to act on behalf of the concern identified below:NAME OF SMALL BUSINESS CONCERN Cyber Cash, Inc.ADDRESS OF SMALL BUSINESS CONCERN 2100 Reston Parkway, Suite 400, Reston, VA, 20191

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☐ the specification filed herewith with title as listed above.☒ the application identified above.☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

☐ no such person, concern or organization exists.☒ each such person, concern or organization is listed below.CyberCash, Inc.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlements to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.289(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING John H. KarnesTITLE OF PERSON IF OTHER THAN OWNER General CounselADDRESS OF PERSON SIGNING 2100 Reston Parkway, Suite 400, Reston, VA, 20191SIGNATURE [Signature] DATE